

NRECA 401(k) Pension Plan Settlement Administrator
P.O. Box 2009
Chanhassen, MN 55317-2009
Email: info@NRECA401kFeeSettlement.com
www.NRECA401kFeeSettlement.com

FORMER PARTICIPANT CLAIM FORM

This Former Participant Claim Form is **ONLY** for Class Members who are **Former Participants** of the NRECA 401(k) Pension Plan, or the beneficiaries or alternate payees of Former Participants (all of whom will be treated as Former Participants). A Former Participant is a Class Member who no longer had an Active Account in the Plan as of July 31, 2020.

This form must be completed, signed and mailed with a postmark on or before **December 7, 2020** to the Settlement Administrator in order for you receive your share of the Settlement proceeds. **Former Participants who do not complete and timely return this form will not receive any Settlement payment.** Please review the instructions below carefully. If you have questions regarding this Claim Form, you may contact the Settlement Administrator as indicated below.

PART 1: INSTRUCTIONS FOR COMPLETING FORMER PARTICIPANT CLAIM FORM

1. Complete this claim form and keep a copy of all pages of your Former Participant Claim Form, including the first page with the address label, for your records.
2. Mail your completed Former Participant Claim Form postmarked on or before **December 7, 2020** to the Settlement Administrator at the following address:

**NRECA 401(k) Pension Plan Settlement Administrator
P.O. Box 2009
Chanhassen, MN 55317-2009**

It is your responsibility to ensure the Settlement Administrator has timely received your Former Participant Claim Form.

3. Other Reminders:
 - You must provide date of birth, signature, and a completed Substitute IRS Form W-9, which is attached as part 5 to this form.
 - If you desire to do a rollover and you do not complete in full the rollover information in Part 4, below, payment will be made to you by check.
 - If you change your address after sending in your Former Participant Claim Form, please provide your new address to the Settlement Administrator
 - **Timing of Payments to Eligible Settlement Class Members.** Please note that Settlement payments are subject to the Settlement Agreement's receiving final Court approval. If the Settlement Agreement is approved and if you are entitled to a Settlement payment under the terms of the Settlement, such payments will likely be distributed within approximately 90 days after the Court's final approval order due to the need to process and verify information for all Class Members who are entitled to a payment and to compute the amount of each payment. Payments may be further delayed if any appeals are filed.
4. **Questions?** If you have any questions about this Former Participant Claim Form, please call the Settlement Administrator at 1-833-962-1868. The Settlement Administrator will provide advice only regarding completing this form and will not provide financial, tax or other advice concerning the Settlement. You therefore may want to consult with your financial or tax advisor. Information about the status of the approval of the Settlement, the Settlement administration, and claim processing is available on the settlement website, www.nreca401kfeesettlement.com.

You are eligible to receive a payment from a class action settlement. The court has preliminarily approved the class settlement of *Intravaia, et al. v. National Rural Electric Cooperative Association, et al.*, Case No. 1:19-cv-00973-LO-IDD (E.D. Va.). That settlement provides allocation of monies to the individual accounts of persons who participated in the NRECA 401(k) Pension Plan (the "Plan") at any time during the period from July 25, 2013 through July 31, 2020 ("Class Members") as defined in the Settlement Agreement. Class Members who are entitled to a distribution but who no longer had Active Accounts as of July 31, 2020 ("Former Participants") will receive their allocations in the form of a check or rollover if and only if they mail a valid Former Participant Claim Form postmarked on or before **December 7, 2020** to the Settlement Administrator. For more information about the Settlement, please see www.nreca401kfeesettlement.com or call 1-833-962-1868.

Because you are a Former Participant in the Plan, you must decide whether you want your payment (1) sent payable to you directly by check or (2) to be rolled over into another eligible retirement plan or into an individual retirement account ("IRA"). To make a payment election, please complete and mail this Former Participant Claim Form postmarked on or before **December 7, 2020** to the Settlement Administrator. If you do not indicate a payment election, your payment will be sent to you directly by check.

PART 2: PARTICIPANT INFORMATION

First Name Middle Last Name

Mailing Address

City State Zip Code

Home Phone Work Phone or Cell Phone
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Participant's Social Security Number Participant's Date of Birth
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Email Address

Check here if you are a Former Participant, but did not receive this Claim Form in the mail.

PART 3: BENEFICIARY OR ALTERNATE PAYEE INFORMATION (IF APPLICABLE)

Check here if you are the **surviving spouse or other beneficiary** for the Former Participant and the Former Participant is deceased. **Documentation must be provided showing current authority of the representative to file on behalf of the deceased.** Please complete the information below and then continue on to Parts 4 and 5 on the next page.

Check here if you are an **alternate payee under a qualified domestic relations order (QDRO)**. The Settlement Administrator may contact you with further instructions. Please complete the information below and then continue on to Parts 4 and 5 on the next page.

Your First Name Middle Last Name

Your Social Security Number or Tax ID Number Your Date of Birth
 - - - -
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Your Mailing Address

City State Zip Code

